

**FOREIGN ACCOUNT TAX COMPLIANCE ACT ("FATCA") AND COMMON REPORTING STANDARD ("CRS") SELF-CERTIFICATION FORM**

**Instruction (Please read before completing the form)**

**FATCA**  
 FATCA is being implemented through a combination of U.S. Treasury Regulations and government-to-government agreements (also known as Intergovernmental Agreements or IGAs) which requires Financial Institutions outside the U.S. to provide information regarding their customers who are U.S. Persons to the U.S. Internal Revenue Service ("U.S. IRS").

BIMB Investment Management Berhad ("BIMB Invest") is subject to and required to, or has to comply with FATCA ("FATCA Reporting Requirement"). In view of this, BIMB Invest is required to collect information about each of its customers under FATCA Reporting Requirement. If you are a U.S. Person, we may need to give the Inland Revenue Board of Malaysia ("IRBM") your account information, which may then be shared with the U.S. IRS.

**CRS**  
 Under the OECD CRS, governments agree to exchange information automatically with one another on tax residents maintaining financial accounts in each other's jurisdictions. Income Tax (Automatic Exchange of Financial Account Information) Rules 2016 ("CRS Rules") issued by the Ministry of Finance Malaysia on 19 December 2016 which came into operation on 1 January 2017 requires every Reporting Financial Institution to identify Reportable Account maintained by the Reporting Financial Institution by applying the due diligence procedures as specified in the OECD CRS.

BIMB Investment Management Berhad ("BIMB Invest") is required to comply with the CRS rules ("CRS Reporting Requirement"). In view of this, BIMB Invest is required to collect information about your tax residence(s) under applicable tax regulations. If you are not a tax resident of Malaysia, we may need to give the IRBM your account information, which may then be shared with other tax authorities of the CRS Participating countries.

As a Financial Institution, we are not allowed to give any tax advice. Please consult your tax adviser if you require assistance in determining your tax residence(s).

Please complete this form if you are individual, sole proprietor or corporate. For joint account, each individual is required to complete a copy of this form.

It is important for you to provide us with complete and accurate information in this form. If your circumstances change and any of the information provided in this form becomes incorrect please let us know immediately by contact us at 1-800-88-1196.

**PLEASE COMPLETE IN CAPITAL LETTERS AND BLACK INK ONLY, AND TICK  WHERE APPLICABLE.**

**A. DETAILS OF CORPORATE ENTITY**

CORPORATION'S NAME (AS PER CERTIFICATE OF INCORPORATION): \_\_\_\_\_

COMPANY'S REGISTRATION NO.: \_\_\_\_\_ COUNTRY OF INCORPORATION: \_\_\_\_\_

**FATCA STATUS**

Please complete (a) and (b) as appropriate:

(a) Please provide your Global Intermediary Identification Number (GIIN):

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Select whichever applicable to you:

Participating FFI               Registered Deemed Compliant

(b) If unable to provide GIIN, please tick the reason why your organization does not have a GIIN: \_\_\_\_\_ , if (ii), please fill in below:

It is an Exempt Beneficial Owner

It is a Sponsored Entity

Sponsor's name: \_\_\_\_\_

Sponsor's GIIN: \_\_\_\_\_

It is a Certified Deemed Compliant Financial Institution (DCFFI)

It is an Owner Documented Foreign Financial Institution (ODFFI)

It is a Non-Participating Foreign Financial Institution (NPPFI)

Not applicable

**CRS STATUS / TAX RESIDENCY SELF DECLARATION**

We are a tax resident of other country(s):

- No;
- Yes, Please provide the corporation's Tax Identification Number and country of issue in the table below;

Tax Residency Country	Tax Identification Number (TIN)	If TIN is not available, please provide reason

Please confirm if your company is (Select one only):

- An Investment Entity
- Depository Institution, Custodial Institution or Specified Insurance Company.
- An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.
- Others.

#### DECLARATION AND SIGNATURE(S) OF THE ENTITY/CORPORATION

I/We declare that we have examined the information on this form and to the best of my/our knowledge hereby declare that all statements and/or information made in this declaration are, to the best of my knowledge and belief, correct and complete.

I/We acknowledge that BIMB Invest may be obliged to comply with or choose to have regard to, observe or fulfil the laws, regulations, orders, guidelines, codes, market standards, good practices, requests, requirements, or expectations of or agreements with public, judicial, taxation, governmental and other regulatory authorities or self-regulatory bodies in various countries/jurisdictions which include FATCA and CRS, to disclose the information to the tax authorities of the country/jurisdiction in which the account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdiction in which the person may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I/We undertake to advise the BIMB Invest within 30 days of any change in circumstances that causes the information contained herein to become incorrect or incomplete and to provide to BIMB Invest a suitably updated self-certification form within thirty (30) days of such change in circumstances.

<p>_____</p> <p>Signature of Authorised Signatory</p>	<p>_____</p> <p>Signature of Authorised Signatory</p>
Name: _____ Date: _____	Name: _____ Date: _____

#### B. ACKNOWLEDGEMENT (for BIMB Invest use only)

	Name	Position	Date	Signature
Received by				
Checked/Verified by				

#### Definitions :

- The term U.S. person or United States person means a person described in section 7701 (a)(30) of the Internal Revenue Code:
  - a citizen or resident of the United States,
  - a United States partnership,
  - a United States corporation,
  - any estate (other than an estate the income of which, from sources without the United States which is not effectively connected with the conduct of a trade or business within the United States, is not includible in gross income under the Internal Revenue Code), and
  - any trust if
    - A court within the United States is able to exercise primary supervision over the administration of the trust, and
    - One or more United States persons have the authority to control all substantial decisions of the trust.
- An Investment Entity
 

“Investment Entity” includes two types of Entities:

  - An Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
    - trading in money market instruments (cheques, bills, certificates of deposit, etc.).
    - individual and collective portfolio management; or
    - otherwise investing, administering, or managing Financial Assets or money on behalf of other persons.
 Such activities or operations do not include rendering non-binding investment advice to a customer.
  - The second type of “Investment Entity” (Investment Entity managed by another Financial Institution) is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type (above primary) of Investment Entity.
- Depository Institution
 

“Depository Institution” means any Entity that accepts deposits in the ordinary course of a banking or similar business.
- INVESTMENT ENTITY LOCATED IN A NON-PARTICIPATING JURISDICTION AND MANAGED BY ANOTHER FINANCIAL INSTITUTION
 

The term “Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution” means any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets if the Entity is (i) managed by a Financial Institution and (ii) not a Participating Jurisdiction Financial Institution.

Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if your organization is resident in the jurisdiction on the following website:

<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/>